

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>01 LIMITED(CSE-104)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>A D Holdings &amp; Securities Limited(DSE-213)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>A. A. SECURITIES LIMITED(CSE-032)</b>				
DUPLICATE BANK ACCOUNT				
RB	34	340,000	51,000	289,000
<b>Total</b>	<b>34</b>	<b>340,000</b>	<b>51,000</b>	<b>289,000</b>
<b>Sub Total</b>	<b>34</b>	<b>340,000</b>	<b>51,000</b>	<b>289,000</b>
<b>A. L. Securities Ltd.(DSE-222)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>6,000</b>	<b>44,000</b>
<b>AB &amp; Co. Ltd.(DSE-043)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>

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Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>AB Securities Limited(DSE-201)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>3,000</b>	<b>27,000</b>
<b>ACE CAPITAL MANAGEMENT SERVICE LTD.(DSE-016)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>1,500</b>	<b>28,500</b>
<b>ADAMS SECURITIES LTD(CSE-016)</b>				
DUPLICATE BANK ACCOUNT				
RB	24	240,000	36,000	204,000
<b>Total</b>	<b>24</b>	<b>240,000</b>	<b>36,000</b>	<b>204,000</b>
<b>Sub Total</b>	<b>24</b>	<b>240,000</b>	<b>36,000</b>	<b>204,000</b>
<b>AIBL CAPITAL MARKET SERVICES LTD.(DSE-234)</b>				
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
<b>Total</b>	<b>8</b>	<b>80,000</b>	<b>12,000</b>	<b>68,000</b>
<b>Sub Total</b>	<b>8</b>	<b>80,000</b>	<b>12,000</b>	<b>68,000</b>
<b>ALI Securities Co. Ltd.(DSE-105)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>ALPHA CAPITAL MANAGEMENT LTD(MB-005)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>ALPHA SECURITIES LIMITED(CSE-001)</b>				
DUPLICATE BANK ACCOUNT				
RB	15	150,000	22,500	127,500
<b>Total</b>	<b>15</b>	<b>150,000</b>	<b>22,500</b>	<b>127,500</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>ALPHA SECURITIES LIMITED(CSE-001)</b>				
<b>Sub Total</b>	<b>15</b>	<b>150,000</b>	<b>22,500</b>	<b>127,500</b>
<b>ANF Management Co. Ltd.(DSE-117)</b>				
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>ANWAR SECURITIES LIMITED(DSE-160)</b>				
DUPLICATE BANK ACCOUNT				
RB	14	140,000	21,000	119,000
<b>Total</b>	<b>14</b>	<b>140,000</b>	<b>21,000</b>	<b>119,000</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>16</b>	<b>160,000</b>	<b>24,000</b>	<b>136,000</b>
<b>AZAM SECURITIES LIMITED(DSE-019)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Akij Capital Management Limited(DSE-231)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	10	100,000	0	100,000
<b>Total</b>	<b>10</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>
DUPLICATE BANK ACCOUNT				
RB	47	470,000	70,500	399,500
<b>Total</b>	<b>47</b>	<b>470,000</b>	<b>70,500</b>	<b>399,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>59</b>	<b>590,000</b>	<b>73,500</b>	<b>516,500</b>
<b>Al-Haja Jahanara Securities Ltd.(DSE-138)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>1,500</b>	<b>18,500</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Al-Muntaha Trading Co.Ltd.(DSE-049)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
NRB	18	180,000	27,000	153,000
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>22</b>	<b>220,000</b>	<b>33,000</b>	<b>187,000</b>
<b>Sub Total</b>	<b>23</b>	<b>230,000</b>	<b>33,000</b>	<b>197,000</b>
<b>Aloco Securities Ltd.(DSE-139)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>7,500</b>	<b>62,500</b>
<b>Alpha Equities Ltd.(DSE-056)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Amin Securities &amp; Consultants Ltd.(CSE-128)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Anchor Securities Ltd(DSE-177)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	49	490,000	0	490,000
<b>Total</b>	<b>49</b>	<b>490,000</b>	<b>0</b>	<b>490,000</b>
DUPLICATE BANK ACCOUNT				
RB	16	160,000	24,000	136,000
<b>Total</b>	<b>16</b>	<b>160,000</b>	<b>24,000</b>	<b>136,000</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
RB BOID IN NRB FILE				
NRB	25	250,000	0	250,000
<b>Total</b>	<b>25</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Anchor Securities Ltd(DSE-177)</b>				
<b>Sub Total</b>	<b>92</b>	<b>920,000</b>	<b>27,000</b>	<b>893,000</b>
<b>Apex Investments Limited(DSE-007)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	22	220,000	0	220,000
<b>Total</b>	<b>22</b>	<b>220,000</b>	<b>0</b>	<b>220,000</b>
DUPLICATE BANK ACCOUNT				
RB	13	130,000	19,500	110,500
<b>Total</b>	<b>13</b>	<b>130,000</b>	<b>19,500</b>	<b>110,500</b>
<b>Sub Total</b>	<b>35</b>	<b>350,000</b>	<b>19,500</b>	<b>330,500</b>
<b>Arena Securities Ltd.(DSE-025)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	22	220,000	0	220,000
<b>Total</b>	<b>22</b>	<b>220,000</b>	<b>0</b>	<b>220,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>25</b>	<b>250,000</b>	<b>4,500</b>	<b>245,500</b>
<b>Aries Securities Limited(DSE-048)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
NRB	3	30,000	4,500	25,500
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
DUPLICATE NAME				
NRB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>9</b>	<b>90,000</b>	<b>12,000</b>	<b>78,000</b>
<b>Asenz Securities Limited(DSE-065)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>0</b>	<b>30,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>4,500</b>	<b>55,500</b>

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Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Associated Capital Securities Ltd(CSE-063)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Azim Securities Ltd.(CSE-023)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
<b>BD Finance Securities Limited(DSE-030)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	14	140,000	0	140,000
<b>Total</b>	<b>14</b>	<b>140,000</b>	<b>0</b>	<b>140,000</b>
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>16</b>	<b>160,000</b>	<b>3,000</b>	<b>157,000</b>
<b>BD SUNLIFE SECURITIES LTD.(DSE-248)</b>				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>BDBL Investment Services Limited(DSE-152)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>BDBL Securities Ltd.(DSE-020)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>BE RICH LIMITED(CSE-027)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>BE RICH LIMITED(CSE-027)</b>				
DUPLICATE BANK ACCOUNT				
NRB	2	20,000	3,000	17,000
RB	8	80,000	12,000	68,000
<b>Total</b>	<b>10</b>	<b>100,000</b>	<b>15,000</b>	<b>85,000</b>
DUPLICATE NAME				
RB	12	120,000	18,000	102,000
<b>Total</b>	<b>12</b>	<b>120,000</b>	<b>18,000</b>	<b>102,000</b>
<b>Sub Total</b>	<b>26</b>	<b>260,000</b>	<b>33,000</b>	<b>227,000</b>
<b>BLI SECURITIES LTD.(DSE-175)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>BRAC EPL Investments Limited(MB-009)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>3,000</b>	<b>27,000</b>
<b>BRAC EPL Stock Brokerage Limited(DSE-011)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	11	110,000	16,500	93,500
<b>Total</b>	<b>11</b>	<b>110,000</b>	<b>16,500</b>	<b>93,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>15</b>	<b>150,000</b>	<b>19,500</b>	<b>130,500</b>
<b>BRB Securities Ltd.(DSE-220)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>

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<b>BRB Securities Ltd.(DSE-220)</b>				
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>BRITISH BENGAL SECURITIES LIMITED(CSE-008)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Bank Asia Securities Ltd.(DSE-237)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>8</b>	<b>80,000</b>	<b>9,000</b>	<b>71,000</b>
<b>Beximco Securities Limited(DSE-178)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Bluechip Securities Limited(DSE-047)</b>				
DUPLICATE BANK ACCOUNT				
NRB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>CAPM Advisory Limited(MB-042)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>CHOWDHURY SECURITIES LIMITED(CSE-073)</b>				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>



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Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>CMSL Securities Limited(DSE-113)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Century securities ltd(CSE-079)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Chittagong Capital Limited(CSE-006)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>City Bank Capital Resources Ltd.(MB-010)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
<b>City Brokerage Ltd.(DSE-145)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Coast to Coast Securities Ltd(DSE-198)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Commerce Bank Securities and Investment Limited(DSE-180)</b>				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>

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Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Commerce Bank Securities and Investment Limited(DSE-180)</b>				
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Cosmopolitan Equities Limited(DSE-146)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>DLIC Securities Ltd.(DSE-243)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>4,500</b>	<b>65,500</b>
<b>DMR Securities Services Ltd.(DSE-014)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
<b>DRAGON SECURITIES LIMITED(DSE-119)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>6,000</b>	<b>54,000</b>
<b>DSFM SECURITIES LTD.(DSE-133)</b>				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>DYNAMIC SECURITIES CONSULTANTS LTD.(DSE-126)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	10	100,000	15,000	85,000
<b>Total</b>	<b>10</b>	<b>100,000</b>	<b>15,000</b>	<b>85,000</b>
<b>Sub Total</b>	<b>11</b>	<b>110,000</b>	<b>15,000</b>	<b>95,000</b>
<b>Delta Capital Limited(DSE-185)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	32	320,000	0	320,000
<b>Total</b>	<b>32</b>	<b>320,000</b>	<b>0</b>	<b>320,000</b>
<b>Sub Total</b>	<b>32</b>	<b>320,000</b>	<b>0</b>	<b>320,000</b>
<b>Dhaka Bank Securities Limited(DSE-193)</b>				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Dhanmondi Securities Limited(DSE-098)</b>				
BOID CLOSED				
NRB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	10	100,000	0	100,000
RB	10	100,000	0	100,000
<b>Total</b>	<b>20</b>	<b>200,000</b>	<b>0</b>	<b>200,000</b>
DUPLICATE BANK ACCOUNT				
RB	22	220,000	33,000	187,000
<b>Total</b>	<b>22</b>	<b>220,000</b>	<b>33,000</b>	<b>187,000</b>
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>47</b>	<b>470,000</b>	<b>37,500</b>	<b>432,500</b>
<b>Doreen Capital Management Limited(DSE-182)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>

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Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>E-securities Limited(DSE-066)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>EASTERN SHARES &amp; SECURITIES LTD(CSE-058)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
<b>Total</b>	<b>8</b>	<b>80,000</b>	<b>12,000</b>	<b>68,000</b>
<b>Sub Total</b>	<b>12</b>	<b>120,000</b>	<b>12,000</b>	<b>108,000</b>
<b>EBL Securities Ltd.(DSE-026)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	17	170,000	25,500	144,500
<b>Total</b>	<b>17</b>	<b>170,000</b>	<b>25,500</b>	<b>144,500</b>
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>23</b>	<b>230,000</b>	<b>31,500</b>	<b>198,500</b>
<b>EMES Securities Ltd.(DSE-155)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>EXPO TRADERS LIMITED(DSE-230)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Elegant Stock &amp; Securities Ltd.(DSE-051)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Ettihad Securities Ltd(DSE-110)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>9,000</b>	<b>61,000</b>
<b>FAREAST ISLAMI SECURITIES LTD.(DSE-170)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	5	50,000	0	50,000
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>8</b>	<b>80,000</b>	<b>4,500</b>	<b>75,500</b>
<b>FARIDA RAQUIB SECURITIES LTD(DSE-087)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Far East Shares &amp; Securities Limited(CSE-123)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Federal Securities &amp; Investment Limited.(DSE-045)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>First Capital Securities Limited(DSE-070)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>GLOBAL SECURITIES LIMITED(DSE-060)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>4,500</b>	<b>35,500</b>
<b>GREENLAND EQUITIES LTD.(DSE-018)</b>				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Galaxy Capital Ltd.(CSE-085)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Globe Securities Ltd.(DSE-189)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
DUPLICATE BANK ACCOUNT				
RB	11	110,000	16,500	93,500
<b>Total</b>	<b>11</b>	<b>110,000</b>	<b>16,500</b>	<b>93,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>16</b>	<b>160,000</b>	<b>18,000</b>	<b>142,000</b>
<b>Green Delta Securities Limited(DSE-059)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	36	360,000	0	360,000
<b>Total</b>	<b>36</b>	<b>360,000</b>	<b>0</b>	<b>360,000</b>
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>44</b>	<b>440,000</b>	<b>12,000</b>	<b>428,000</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>HABIBUR RAHMAN SECURITIES LTD.(DSE-187)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>HAC Securities Ltd.(DSE-074)</b>				
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>10</b>	<b>100,000</b>	<b>15,000</b>	<b>85,000</b>
<b>HALLMARK SECURITIES LIMITED(CSE-117)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>6,000</b>	<b>44,000</b>
<b>HARUN SECURITIES LTD.(DSE-005)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	5	50,000	0	50,000
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>3,000</b>	<b>67,000</b>
<b>HEDAYETULLAH SECURITIES LTD.(DSE-140)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>HEFAZATUR RAHMAN &amp; CO. LTD.(CSE-046)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Hasan Securities Limited(DSE-057)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	11	110,000	0	110,000
<b>Total</b>	<b>11</b>	<b>110,000</b>	<b>0</b>	<b>110,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>12</b>	<b>120,000</b>	<b>1,500</b>	<b>118,500</b>
<b>Hassan Shares &amp; Securities Limited.(CSE-114)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Hazrat Amanat Shah Securities Ltd.(DSE-173)</b>				
DUPLICATE BANK ACCOUNT				
RB	29	290,000	43,500	246,500
<b>Total</b>	<b>29</b>	<b>290,000</b>	<b>43,500</b>	<b>246,500</b>
RB BOID IN NRB FILE				
NRB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>30</b>	<b>300,000</b>	<b>43,500</b>	<b>256,500</b>
<b>Hillcity Securities Ltd(CSE-037)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>9,000</b>	<b>61,000</b>
<b>IBRAHIM SECURITIES LTD.(DSE-033)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>ICB CAPITAL MANAGEMENT LIMITED(MB-019)</b>				
DUPLICATE BANK ACCOUNT				
RB	28	280,000	42,000	238,000



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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>ICB CAPITAL MANAGEMENT LIMITED(MB-019)</b>				
DUPLICATE BANK ACCOUNT				
<b>Total</b>	<b>28</b>	<b>280,000</b>	<b>42,000</b>	<b>238,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>29</b>	<b>290,000</b>	<b>43,500</b>	<b>246,500</b>
<b>ICB Securities Trading Company Limited(DSE-129)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
DUPLICATE BANK ACCOUNT				
RB	24	240,000	36,000	204,000
<b>Total</b>	<b>24</b>	<b>240,000</b>	<b>36,000</b>	<b>204,000</b>
<b>Sub Total</b>	<b>28</b>	<b>280,000</b>	<b>36,000</b>	<b>244,000</b>
<b>IDLC Investments Limited(MB-020)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>IDLC Securities Limited(DSE-058)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	6	60,000	0	60,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>0</b>	<b>60,000</b>
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
DUPLICATE NAME				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>16</b>	<b>160,000</b>	<b>15,000</b>	<b>145,000</b>
<b>IFIC SECURITIES LTD(DSE-192)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>1,500</b>	<b>28,500</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>IIDFC Securities Limited(DSE-238)</b>				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
<b>INTERNATIONAL LEASING SECURITIES LIMITED(DSE-009)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	11	110,000	16,500	93,500
<b>Total</b>	<b>11</b>	<b>110,000</b>	<b>16,500</b>	<b>93,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>13</b>	<b>130,000</b>	<b>18,000</b>	<b>112,000</b>
<b>INTERNATIONAL SECURITIES CO. LTD.(CSE-096)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>9,000</b>	<b>61,000</b>
<b>INVESTMENT PROMOTION SERVICES LTD.(DSE-158)</b>				
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>ISLAND SECURITIES LIMITED(DSE-106)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	5	50,000	0	50,000
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
DUPLICATE BANK ACCOUNT				
NRB	4	40,000	6,000	34,000
RB	29	290,000	43,500	246,500
<b>Total</b>	<b>33</b>	<b>330,000</b>	<b>49,500</b>	<b>280,500</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>ISLAND SECURITIES LIMITED(DSE-106)</b>				
DUPLICATE NAME				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>44</b>	<b>440,000</b>	<b>58,500</b>	<b>381,500</b>
<b>ISPI SECURITIES LTD(CSE-002)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Imtiyaz Husain Securities Ltd.(DSE-050)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>9,000</b>	<b>61,000</b>
<b>Islami Bank Securities Limited(DSE-107)</b>				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>JAHAN SECURITIES LTD.(DSE-195)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>JKC SECURITIES LTD.(DSE-179)</b>				
DUPLICATE BANK ACCOUNT				
NRB	8	80,000	12,000	68,000
RB	19	190,000	28,500	161,500
<b>Total</b>	<b>27</b>	<b>270,000</b>	<b>40,500</b>	<b>229,500</b>
DUPLICATE NAME				
NRB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>30</b>	<b>300,000</b>	<b>45,000</b>	<b>255,000</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>JOYTUN SECURITIES INTERNATIONAL LTD.(DSE-148)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>4,500</b>	<b>35,500</b>
<b>Jamal Ahmed Securities Ltd(DSE-097)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	5	50,000	0	50,000
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
<b>K-Securities &amp; Consultants Ltd.(DSE-122)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>KAZI EQUITIES LIMITED(DSE-135)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	4	40,000	0	40,000
RB	54	540,000	0	540,000
<b>Total</b>	<b>58</b>	<b>580,000</b>	<b>0</b>	<b>580,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>63</b>	<b>630,000</b>	<b>7,500</b>	<b>622,500</b>
<b>KHB Securities Limited(DSE-143)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	5	50,000	0	50,000
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
<b>Total</b>	<b>8</b>	<b>80,000</b>	<b>12,000</b>	<b>68,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>KHB Securities Limited(DSE-143)</b>				
<b>Sub Total</b>	<b>14</b>	<b>140,000</b>	<b>13,500</b>	<b>126,500</b>
<b>KISHWAR SECURITY INVESTMENT LTD.(CSE-047)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Kabir Securities Limited(CSE-056)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	14	140,000	0	140,000
<b>Total</b>	<b>14</b>	<b>140,000</b>	<b>0</b>	<b>140,000</b>
DUPLICATE BANK ACCOUNT				
RB	22	220,000	33,000	187,000
<b>Total</b>	<b>22</b>	<b>220,000</b>	<b>33,000</b>	<b>187,000</b>
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>40</b>	<b>400,000</b>	<b>39,000</b>	<b>361,000</b>
<b>LankaBangla Investments Limited(MB-026)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>LankaBangla Securities Limited(DSE-132)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	10	100,000	0	100,000
<b>Total</b>	<b>10</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>
DUPLICATE BANK ACCOUNT				
RB	47	470,000	70,500	399,500
<b>Total</b>	<b>47</b>	<b>470,000</b>	<b>70,500</b>	<b>399,500</b>
DUPLICATE NAME				
RB	18	180,000	27,000	153,000
<b>Total</b>	<b>18</b>	<b>180,000</b>	<b>27,000</b>	<b>153,000</b>
<b>Sub Total</b>	<b>75</b>	<b>750,000</b>	<b>97,500</b>	<b>652,500</b>
<b>Lotus Shares &amp; Securities Limited(CSE-112)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>M. Securities Ltd(DSE-044)</b>				
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
<b>M. Zubair Securities Ltd.(DSE-052)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>MAH Securities Limited(DSE-013)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>MD. SAHIDULLAH SECURITIES LTD.(DSE-091)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>MEENHAR SECURITIES LIMITED(CSE-003)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	22	220,000	33,000	187,000
<b>Total</b>	<b>22</b>	<b>220,000</b>	<b>33,000</b>	<b>187,000</b>
<b>Sub Total</b>	<b>23</b>	<b>230,000</b>	<b>33,000</b>	<b>197,000</b>
<b>MIDWAY SECURITIES LIMITED(DSE-142)</b>				
DUPLICATE BANK ACCOUNT				
RB	31	310,000	46,500	263,500
<b>Total</b>	<b>31</b>	<b>310,000</b>	<b>46,500</b>	<b>263,500</b>
<b>Sub Total</b>	<b>31</b>	<b>310,000</b>	<b>46,500</b>	<b>263,500</b>
<b>MIKA SECURITIES LIMITED(DSE-215)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	52	520,000	0	520,000
<b>Total</b>	<b>52</b>	<b>520,000</b>	<b>0</b>	<b>520,000</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>MIKA SECURITIES LIMITED(DSE-215)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>55</b>	<b>550,000</b>	<b>4,500</b>	<b>545,500</b>
<b>MOSHIHOR SECURITIES LTD(DSE-134)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
DUPLICATE NAME				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>13</b>	<b>130,000</b>	<b>18,000</b>	<b>112,000</b>
<b>MTB SECURITIES LIMITED(DSE-197)</b>				
DUPLICATE BANK ACCOUNT				
RB	20	200,000	30,000	170,000
<b>Total</b>	<b>20</b>	<b>200,000</b>	<b>30,000</b>	<b>170,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>21</b>	<b>210,000</b>	<b>31,500</b>	<b>178,500</b>
<b>Md. Fakhru Islam Securities Ltd.(DSE-090)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>1,500</b>	<b>28,500</b>
<b>Meghna Bank Securities Limited(DSE-124)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Mercantile Bank Securities Limited(DSE-224)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Mirpur Securities Ltd.(CSE-050)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	40	400,000	60,000	340,000
<b>Total</b>	<b>40</b>	<b>400,000</b>	<b>60,000</b>	<b>340,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>42</b>	<b>420,000</b>	<b>61,500</b>	<b>358,500</b>
<b>Mirror Financial Management Ltd(DSE-223)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Modern Securities Ltd(DSE-229)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	54	540,000	0	540,000
<b>Total</b>	<b>54</b>	<b>540,000</b>	<b>0</b>	<b>540,000</b>
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
<b>Sub Total</b>	<b>61</b>	<b>610,000</b>	<b>10,500</b>	<b>599,500</b>
<b>Mohammadi Stock Market Ltd(DSE-015)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Mona Financial Consultancy &amp; Securities Limited(DSE-164)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000



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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Mona Financial Consultancy &amp; Securities Limited(DSE-164)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	8	80,000	12,000	68,000
<b>Total</b>	<b>8</b>	<b>80,000</b>	<b>12,000</b>	<b>68,000</b>
<b>Sub Total</b>	<b>10</b>	<b>100,000</b>	<b>12,000</b>	<b>88,000</b>
<b>Multi Securities &amp; Services Limited.(DSE-075)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	7	70,000	0	70,000
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>
DUPLICATE BANK ACCOUNT				
RB	36	360,000	54,000	306,000
<b>Total</b>	<b>36</b>	<b>360,000</b>	<b>54,000</b>	<b>306,000</b>
<b>Sub Total</b>	<b>43</b>	<b>430,000</b>	<b>54,000</b>	<b>376,000</b>
<b>NABIUL KARIM SECURITIES LTD.(DSE-115)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>NBL Securities Limited(DSE-203)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>NCCB Securities &amp; Financial Services Ltd(DSE-061)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>NLI SECURITIES LIMITED(DSE-244)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	25	250,000	0	250,000
<b>Total</b>	<b>25</b>	<b>250,000</b>	<b>0</b>	<b>250,000</b>
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>NLI SECURITIES LIMITED(DSE-244)</b>				
<b>Sub Total</b>	<b>29</b>	<b>290,000</b>	<b>6,000</b>	<b>284,000</b>
<b>NRBC Bank Securities Limited(DSE-082)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>New Era Securities Limited(DSE-150)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>North West Securities Ltd.(CSE-019)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>ONE Securities Limited(DSE-241)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	14	140,000	0	140,000
<b>Total</b>	<b>14</b>	<b>140,000</b>	<b>0</b>	<b>140,000</b>
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>15</b>	<b>150,000</b>	<b>1,500</b>	<b>148,500</b>
<b>PIONEER SHARES &amp; SECURITIES LIMITED(CSE-034)</b>				
BOID CLOSED				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	10	100,000	0	100,000
<b>Total</b>	<b>10</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>15</b>	<b>150,000</b>	<b>4,500</b>	<b>145,500</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>PREMIER BANK SECURITIES LIMITED(DSE-236)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	14	140,000	0	140,000
<b>Total</b>	<b>14</b>	<b>140,000</b>	<b>0</b>	<b>140,000</b>
DUPLICATE BANK ACCOUNT				
RB	13	130,000	19,500	110,500
<b>Total</b>	<b>13</b>	<b>130,000</b>	<b>19,500</b>	<b>110,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>28</b>	<b>280,000</b>	<b>21,000</b>	<b>259,000</b>
<b>PRILINK SECURITIES LTD(DSE-202)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	10	100,000	0	100,000
<b>Total</b>	<b>10</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>14</b>	<b>140,000</b>	<b>6,000</b>	<b>134,000</b>
<b>PUBALI BANK SECURITIES LIMITED(DSE-214)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	5	50,000	0	50,000
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>10</b>	<b>100,000</b>	<b>7,500</b>	<b>92,500</b>
<b>Parom Securities Ltd.(CSE-131)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	3	30,000	0	30,000
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>0</b>	<b>30,000</b>
DUPLICATE BANK ACCOUNT				
NRB	16	160,000	24,000	136,000
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>20</b>	<b>200,000</b>	<b>30,000</b>	<b>170,000</b>
DUPLICATE NAME				
NRB	4	40,000	6,000	34,000

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Parom Securities Ltd.(CSE-131)</b>				
DUPLICATE NAME				
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
RB BOID IN NRB FILE				
NRB	27	270,000	0	270,000
<b>Total</b>	<b>27</b>	<b>270,000</b>	<b>0</b>	<b>270,000</b>
<b>Sub Total</b>	<b>54</b>	<b>540,000</b>	<b>36,000</b>	<b>504,000</b>
<b>Premier Leasing Securities Broking Limited(DSE-136)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Premier Leasing Securities Limited(CSE-136)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>Prime Bank Investment Limited(MB-029)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Prime Bank Securities Limited(DSE-219)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Prime Financial Consultants &amp; Equities Ltd.(CSE-055)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Prudential Capital Ltd(CSE-062)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
DUPLICATE BANK ACCOUNT				
NRB	2	20,000	3,000	17,000
RB	22	220,000	33,000	187,000
<b>Total</b>	<b>24</b>	<b>240,000</b>	<b>36,000</b>	<b>204,000</b>

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Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Prudential Capital Ltd(CSE-062)</b>				
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
RB BOID IN NRB FILE				
NRB	9	90,000	0	90,000
<b>Total</b>	<b>9</b>	<b>90,000</b>	<b>0</b>	<b>90,000</b>
<b>Sub Total</b>	<b>41</b>	<b>410,000</b>	<b>42,000</b>	<b>368,000</b>
<b>QUAYUM SECURITIES LTD.(DSE-038)</b>				
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>RAK Capital Limited(CSE-028)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>RAPID SECURITIES LIMITED(DSE-042)</b>				
DUPLICATE BANK ACCOUNT				
RB	87	870,000	130,500	739,500
<b>Total</b>	<b>87</b>	<b>870,000</b>	<b>130,500</b>	<b>739,500</b>
<b>Sub Total</b>	<b>87</b>	<b>870,000</b>	<b>130,500</b>	<b>739,500</b>
<b>RASTI SECURITIES CONSULTANT LTD.(DSE-217)</b>				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>RAZZAK SECURITIES LTD.(DSE-184)</b>				
DUPLICATE BANK ACCOUNT				
RB	83	830,000	124,500	705,500
<b>Total</b>	<b>83</b>	<b>830,000</b>	<b>124,500</b>	<b>705,500</b>
<b>Sub Total</b>	<b>83</b>	<b>830,000</b>	<b>124,500</b>	<b>705,500</b>
<b>REMONS INVESTMENT &amp; SECURITIES LIMITED(CSE-042)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>REMONS INVESTMENT &amp; SECURITIES LIMITED(CSE-042)</b>				
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>ROSE SECURITIES LTD(DSE-125)</b>				
DUPLICATE BANK ACCOUNT				
RB	7	70,000	10,500	59,500
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
<b>ROYAL CAPITAL LTD.(DSE-021)</b>				
BOID CLOSED				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	71	710,000	0	710,000
<b>Total</b>	<b>71</b>	<b>710,000</b>	<b>0</b>	<b>710,000</b>
DUPLICATE BANK ACCOUNT				
RB	28	280,000	42,000	238,000
<b>Total</b>	<b>28</b>	<b>280,000</b>	<b>42,000</b>	<b>238,000</b>
DUPLICATE NAME				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>106</b>	<b>1,060,000</b>	<b>49,500</b>	<b>1,010,500</b>
<b>ROYAL GREEN SECURITIES LTD(DSE-077)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>3,000</b>	<b>37,000</b>
<b>Reliance Brokerage Services Ltd.(DSE-062)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Reliance Securities Consultant Ltd(CSE-059)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Rupali Bank Securities Limited(DSE-246)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	22	220,000	0	220,000
<b>Total</b>	<b>22</b>	<b>220,000</b>	<b>0</b>	<b>220,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>25</b>	<b>250,000</b>	<b>4,500</b>	<b>245,500</b>
<b>SALTA CAPITAL LIMITED(CSE-022)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	4	40,000	0	40,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>0</b>	<b>40,000</b>
DUPLICATE BANK ACCOUNT				
RB	11	110,000	16,500	93,500
<b>Total</b>	<b>11</b>	<b>110,000</b>	<b>16,500</b>	<b>93,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>17</b>	<b>170,000</b>	<b>19,500</b>	<b>150,500</b>
<b>SAR SECURITIES LTD.(DSE-027)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>SBL CAPITAL MANAGEMENT LIMITED(MB-032)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>SCL Securities Limited(DSE-121)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	14	140,000	0	140,000
<b>Total</b>	<b>14</b>	<b>140,000</b>	<b>0</b>	<b>140,000</b>
<b>Sub Total</b>	<b>14</b>	<b>140,000</b>	<b>0</b>	<b>140,000</b>
<b>SHAHED SECURITIES LTD(DSE-123)</b>				
BOID CLOSED				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>

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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>SHAHJALAL EQUITY MANAGEMENT LIMITED(MB-094)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>SHANTA SECURITIES LIMITED(DSE-039)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>4,500</b>	<b>35,500</b>
<b>SHOHRAB SECURITIES &amp; TRADE LTD.(DSE-225)</b>				
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>SHYAMOL EQUITY MANAGEMENT LTD.(DSE-003)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>7,500</b>	<b>52,500</b>
<b>SIBL Securities Ltd.(DSE-094)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>SINHA SECURITIES LTD(DSE-067)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000



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CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>SINHA SECURITIES LTD(DSE-067)</b>				
DUPLICATE BANK ACCOUNT				
Total	6	60,000	9,000	51,000
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>9,000</b>	<b>61,000</b>
<b>SOHEL SECURITIES LIMITED(CSE-076)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
Total	2	20,000	3,000	17,000
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>3,000</b>	<b>27,000</b>
<b>SR CAPITAL LTD(CSE-038)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
Total	1	10,000	0	10,000
DUPLICATE BANK ACCOUNT				
RB	9	90,000	13,500	76,500
Total	9	90,000	13,500	76,500
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
<b>Sub Total</b>	<b>14</b>	<b>140,000</b>	<b>19,500</b>	<b>120,500</b>
<b>STANDARD BANK SECURITIES LIMITED(DSE-156)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
Total	1	10,000	1,500	8,500
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sadeque Finance Management Ltd(DSE-028)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
Total	3	30,000	4,500	25,500
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Salam &amp; Company Ltd.(DSE-190)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
Total	4	40,000	6,000	34,000
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Saya Securities Ltd.(CSE-010)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	8	80,000	0	80,000
<b>Total</b>	<b>8</b>	<b>80,000</b>	<b>0</b>	<b>80,000</b>
<b>Sub Total</b>	<b>8</b>	<b>80,000</b>	<b>0</b>	<b>80,000</b>
<b>Shahjalal Islami Bank Securities Limited(DSE-233)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	3	30,000	0	30,000
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>0</b>	<b>30,000</b>
DUPLICATE BANK ACCOUNT				
RB	6	60,000	9,000	51,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
DUPLICATE NAME				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>13</b>	<b>130,000</b>	<b>15,000</b>	<b>115,000</b>
<b>Shakil Rizvi Stock Ltd.(DSE-149)</b>				
DUPLICATE BANK ACCOUNT				
RB	16	160,000	24,000	136,000
<b>Total</b>	<b>16</b>	<b>160,000</b>	<b>24,000</b>	<b>136,000</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>18</b>	<b>180,000</b>	<b>27,000</b>	<b>153,000</b>
<b>Sharp Securities Limited(DSE-216)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>4,500</b>	<b>35,500</b>
<b>Sheltech Brokerage Limited(DSE-120)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	1	10,000	0	10,000
RB	1	10,000	0	10,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Sheltech Brokerage Limited(DSE-120)</b>				
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>10</b>	<b>100,000</b>	<b>12,000</b>	<b>88,000</b>
<b>South Asia Securities Ltd(CSE-004)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	6	60,000	0	60,000
<b>Total</b>	<b>6</b>	<b>60,000</b>	<b>0</b>	<b>60,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>10</b>	<b>100,000</b>	<b>6,000</b>	<b>94,000</b>
<b>Square Securities Management Ltd(DSE-076)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>Sterling Stocks &amp; Securities Ltd.(DSE-249)</b>				
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Stock &amp; Bond Ltd.(DSE-199)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Super Shares and Securities Ltd.(CSE-067)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	21	210,000	0	210,000
<b>Total</b>	<b>21</b>	<b>210,000</b>	<b>0</b>	<b>210,000</b>
<b>Sub Total</b>	<b>21</b>	<b>210,000</b>	<b>0</b>	<b>210,000</b>

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>T. A. Khan Securities Co. Ltd.(DSE-174)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>Sub Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
<b>T.K. Shares &amp; Securities Ltd.(CSE-069)</b>				
DUPLICATE BANK ACCOUNT				
RB	4	40,000	6,000	34,000
<b>Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>TEN STARS (PVT.) LIMITED(CSE-100)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	2	20,000	0	20,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>0</b>	<b>20,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>4,500</b>	<b>45,500</b>
<b>TOTAL COMMUNICATION LTD.(DSE-200)</b>				
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Tobarrak Securities Ltd.(DSE-172)</b>				
DUPLICATE BANK ACCOUNT				
NRB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Trust Bank Securities Limited(DSE-242)</b>				
DUPLICATE BANK ACCOUNT				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Trustee Securities Ltd.(DSE-162)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Trustee Securities Ltd.(DSE-162)</b>				
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>UCB STOCK BROKERAGE LIMITED(DSE-181)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	29	290,000	0	290,000
<b>Total</b>	<b>29</b>	<b>290,000</b>	<b>0</b>	<b>290,000</b>
DUPLICATE BANK ACCOUNT				
RB	11	110,000	16,500	93,500
<b>Total</b>	<b>11</b>	<b>110,000</b>	<b>16,500</b>	<b>93,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>41</b>	<b>410,000</b>	<b>18,000</b>	<b>392,000</b>
<b>UNIROYAL SECURITIES LIMITED(DSE-089)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	7	70,000	0	70,000
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>
<b>Sub Total</b>	<b>7</b>	<b>70,000</b>	<b>0</b>	<b>70,000</b>
<b>UNITED FINANCIAL TRADING COMPANY LIMITED(DSE-227)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	5	50,000	0	50,000
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>0</b>	<b>50,000</b>
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
DUPLICATE NAME				
RB	2	20,000	3,000	17,000
<b>Total</b>	<b>2</b>	<b>20,000</b>	<b>3,000</b>	<b>17,000</b>
<b>Sub Total</b>	<b>12</b>	<b>120,000</b>	<b>10,500</b>	<b>109,500</b>
<b>UniCap Investments Limited(MB-037)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	8	80,000	0	80,000
<b>Total</b>	<b>8</b>	<b>80,000</b>	<b>0</b>	<b>80,000</b>
DUPLICATE BANK ACCOUNT				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>11</b>	<b>110,000</b>	<b>4,500</b>	<b>105,500</b>
<b>Unicap Securities Limited(DSE-163)</b>				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>Unicap Securities Limited(DSE-163)</b>				
DUPLICATE BANK ACCOUNT				
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>6</b>	<b>60,000</b>	<b>9,000</b>	<b>51,000</b>
<b>United Securities Limited(DSE-207)</b>				
DUPLICATE BANK ACCOUNT				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
DUPLICATE NAME				
RB	3	30,000	4,500	25,500
<b>Total</b>	<b>3</b>	<b>30,000</b>	<b>4,500</b>	<b>25,500</b>
<b>Sub Total</b>	<b>4</b>	<b>40,000</b>	<b>6,000</b>	<b>34,000</b>
<b>Uttara Exchange &amp; Securities Ltd.(CSE-024)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Vantage Securities Limited(CSE-115)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
RB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Vertex Stock &amp; Securities Limited(DSE-092)</b>				
DUPLICATE NAME				
RB	1	10,000	1,500	8,500
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Sub Total</b>	<b>1</b>	<b>10,000</b>	<b>1,500</b>	<b>8,500</b>
<b>Vision Capital Management Ltd.(DSE-024)</b>				
DUPLICATE BANK ACCOUNT				
RB	34	340,000	51,000	289,000
<b>Total</b>	<b>34</b>	<b>340,000</b>	<b>51,000</b>	<b>289,000</b>
<b>Sub Total</b>	<b>34</b>	<b>340,000</b>	<b>51,000</b>	<b>289,000</b>
<b>WIFANG SECURITIES LIMITED(DSE-210)</b>				
DUPLICATE BANK ACCOUNT				
NRB	2	20,000	3,000	17,000
RB	33	330,000	49,500	280,500
<b>Total</b>	<b>35</b>	<b>350,000</b>	<b>52,500</b>	<b>297,500</b>

# Electronic Subscription System

CDS Invalid Application Summary Report  
Union Insurance Co. Ltd.

Type	Applicants	Applied Amount	Fine Amount	Refund Amount
<b>WIFANG SECURITIES LIMITED(DSE-210)</b>				
DUPLICATE NAME				
RB	7	70,000	10,500	59,500
<b>Total</b>	<b>7</b>	<b>70,000</b>	<b>10,500</b>	<b>59,500</b>
<b>Sub Total</b>	<b>42</b>	<b>420,000</b>	<b>63,000</b>	<b>357,000</b>
<b>Western Securities Investment Management Ltd.(CSE-120)</b>				
BOID DOES NOT HAVE MIN INVESTMENT REQUIREMENT				
NRB	20	200,000	0	200,000
RB	74	740,000	0	740,000
<b>Total</b>	<b>94</b>	<b>940,000</b>	<b>0</b>	<b>940,000</b>
DUPLICATE BANK ACCOUNT				
NRB	6	60,000	9,000	51,000
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>11</b>	<b>110,000</b>	<b>16,500</b>	<b>93,500</b>
DUPLICATE NAME				
NRB	9	90,000	13,500	76,500
<b>Total</b>	<b>9</b>	<b>90,000</b>	<b>13,500</b>	<b>76,500</b>
RB BOID IN NRB FILE				
NRB	1	10,000	0	10,000
<b>Total</b>	<b>1</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
<b>Sub Total</b>	<b>115</b>	<b>1,150,000</b>	<b>30,000</b>	<b>1,120,000</b>
<b>holy city securities ltd.(CSE-093)</b>				
DUPLICATE BANK ACCOUNT				
RB	5	50,000	7,500	42,500
<b>Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Sub Total</b>	<b>5</b>	<b>50,000</b>	<b>7,500</b>	<b>42,500</b>
<b>Grand Total</b>	<b>2,633</b>	<b>26,330,000</b>	<b>2,455,500</b>	<b>23,874,500</b>